

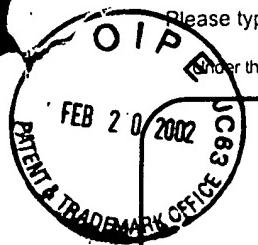
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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number

09/827,545

Filing Date

April 6, 2001

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First Named Inventor

Sprunk, Eric J.

FEB 28 2002

Group Art Unit

2611

Examiner Name

Technology Center 2600

Total Number of Pages in This Submission

1

Attorney Docket Number

018926-003150US

ENCLOSURES (check all that apply)

Fee Transmittal Form

Assignment Papers
(for an Application)

After Allowance Communication to Group

Fee Attached

Drawing(s)

Appeal Communication to Board of Appeals and Interferences

Amendment / Response

Licensing-related Papers

Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

After Final

Petition Routing Slip (PTO/SB/69)
and Accompanying Petition

Proprietary Information

Affidavits/declaration(s)

Petition to Convert to a Provisional Application

Status Letter

Extension of Time Request

Power of Attorney, Revocation Change of Correspondence Address

Other Enclosure(s)
(please identify below):

Express Abandonment Request

Terminal Disclaimer

1. Transmittal Form 2. PTO/SB 08A and 08B w/5 references attached 3. Return Postcard

Information Disclosure Statement

Request for Refund

CD, Number of CD(s)

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

Certified Copy of Priority Document(s)

Response to Missing Parts/
Incomplete Application

Response to Missing Parts under 37 CFR 1.52 or 1.53

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
and
Individual name

Townsend and Townsend and Crew LLP

Thomas D. Franklin

Reg. No. 43,616

Signature

Date

January 17, 2002

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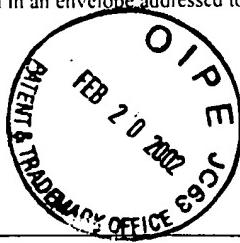
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TOWNSEND and TOWNSEND and CREW LLP

By: Bonita A. Ward

PATENT
Attorney Docket No.: 018926-003150US
Client Reference No.: D2316 CIP 3

#3
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Eric J. Sprunk

Application No.: 09/827,545

Filed: April 6, 2001

For: ACCESS CONTROL PROCESSOR

Examiner:

Art Unit: 2611

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SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Eric J. Sprunk
Application No.: 09/827,545
Page 2

PATENT

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Thomas D. Franklin
Reg. No. 43,616

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 2

Complete if Known

Application Number 09/827,545

Filing Date April 6, 2001

First Named Inventor Sprunk, Eric J.

Group Art Unit 2611

Examiner Name

Attorney Docket Number 018926-003150US

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U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
AA		5,933,498		Schneck, et al.	08-03-1999	
AB		6,314,409	B2	Schneck, et al.	11-06-2001	
AC						
AD						
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Sheet 12 of 2

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Application Number	09/827,545
Filing Date	April 6, 2001
First Named Inventor	Sprung, Eric J.
Group Art Unit	2611
Examiner Name	

Attorney Docket Number 018926-003150US

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	BC	HACKENBERG, GERALD, <i>Computerviren: Vorbeugung und Bekämpfung</i> , 258 IT Informationstechnik, 32 (1990) Februar, no. 1, Siemens AG, Munchen-	
	BD	English translation of above Cite No. BC	
	BE	SCHNECK, PAUL B., <i>Persistent Access Control to Prevent Piracy of Digital Information</i> , Proceedings of the IEEE, Vol. 87, No. 7, July 1999, pp. 1239-1250	
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